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March 20, 2000

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**INTERNET ADDRESS:**  
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Assistant Commissioner for Patents  
Washington, D.C. 20231

**Box Missing Parts**

Re: U.S. Utility Patent Application  
Appl. No. 09/455,528; Filed: December 7, 1999  
For: **System, Method, and Computer Program Product for Mediating  
Notes and Note Sub-notes Linked or Otherwise Associated with  
Stored or Networked Web Pages**  
Inventors: Rivette *et al.*  
Our Ref: 1531.001000F

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated January 19, 2000, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. PTO Fee Transmittal Form PTO/SB/17 (*in duplicate*);
2. Copy of the Notice to File Missing Parts;
3. Original Declaration, executed by the inventors;
4. Statement Claiming Small Entity Status (37 C.F.R. §§1.9(d) and 1.27(c)) -- Small Business Concern;
5. Return postcard; and
6. Our Check No. 26987 for \$467.00 to cover:
  - \$345.00 Filing Fee for Patent Application (37 C.F.R. § 1.16)
  - \$ 57.00 Fee for excess claims (37 C.F.R. § 1.16)
  - \$ 65.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16)

Assistant Commissioner for Patents

March 20, 2000

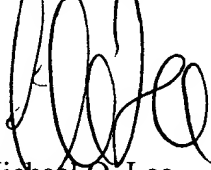
Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink, appearing to read 'MQL', is written over the printed name of Michael Q. Lee.

Michael Q. Lee

Attorney for Applicants

Registration No. 35,239

MQL/MAM/tp

Enclosures

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/99-12,  
See 37 C.F.R. §§ 1.27 and 1.28.

MAR 20 2000

### Complete if Known

|                        |                  |
|------------------------|------------------|
| Application Number     | 09/455,528       |
| Filing Date            | December 7, 1999 |
| First Named Inventor   | Rivette et al.   |
| Examiner Name          | (to be assigned) |
| Group / Art Unit       | 2776             |
| Attorney Docket Number | 1531.001000F     |

TOTAL AMOUNT OF PAYMENT (\$467.00)

### METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

|                        |   |
|------------------------|---|
| Deposit Account Number | 19-0036                                   |
| Deposit Account Name   | Sterne, Kessler, Goldstein & Fox P.L.L.C. |

☐ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed: ☒ Check ☐ Money Order ☒ Other\*  
\*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Fee Code    | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|-------------------|-----------------|----------------|-----------------|------------------------|----------|
| 101               | 690             | 201            | 345             | Utility filing fee     | 345.00   |
| 106               | 310             | 206            | 155             | Design filing fee      |          |
| 107               | 480             | 207            | 240             | Plant filing fee       |          |
| 108               | 690             | 208            | 334             | Reissue filing fee     |          |
| 114               | 150             | 214            | 75              | Provisional filing fee |          |
| SUBTOTAL (1) (\$) |                 |                |                 |                        | 345.00   |

#### 2. EXTRA CLAIM FEES

|                            | Extra   | Fee from below | Fee Paid |
|----------------------------|---------|----------------|----------|
| Total Claims 22 - 20** = 2 | X 9.00  | =              | 18.00    |
| Indep. Claims 4 - 3** = 1  | X 39.00 | =              | 39.00    |
| Multiple Dependent         |         | =              |          |

\*\* or number previously paid, if greater; For Reissues, see below

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                    |
| 102            | 78              | 202            | 39              | Independent claims in excess of 3                         |
| 104            | 260             | 204            | 130             | Multiple dependent claim                                  |
| 108            | 78              | 209            | 39              | **Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

57.00

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105            | 130             | 205            | 65              | Surcharge - late filing fee or oath  | 65.00    |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139            | 130             | 139            | 130             | Non-English specification  |          |
| 147            | 2,520           | 147            | 2,520           | For filing a request for reexamination                                     |          |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                     |          |
| 113            | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                     |          |
| 116            | 380             | 216            | 190             | Extension for reply within second month                                    |          |
| 117            | 870             | 217            | 435             | Extension for reply within third month                                     |          |
| 118            | 1,360           | 218            | 680             | Extension for reply within fourth month                                    |          |
| 128            | 1,850           | 228            | 925             | Extension for reply within fifth month                                     |          |
| 119            | 300             | 219            | 150             | Notice of Appeal   |          |
| 120            | 300             | 220            | 150             | Filing a brief in support of an appeal                                     |          |
| 121            | 260             | 221            | 130             | Request for oral hearing   |          |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                              |          |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141            | 1,210           | 241            | 605             | Petition to revive - unintentional   |          |
| 142            | 1,210           | 242            | 605             | Utility issue fee (or reissue)   |          |
| 143            | 430             | 243            | 215             | Design issue fee   |          |
| 144            | 580             | 244            | 290             | Plant issue fee  |          |
| 122            | 130             | 122            | 130             | Petitions to the Commissioner  |          |
| 123            | 50              | 123            | 50              | Petitions related to provisional applications                              |          |
| 126            | 240             | 126            | 240             | Submission of Information Disclosure Stmt                                  |          |
| 581            | 40              | 581            | 40              | Recording each patent assignment per property (times number of properties) |          |
| 146            | 760             | 246            | 380             | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149            | 760             | 249            | 380             | For each additional invention to be examined (37 CFR 1.129(b))             |          |

Other fee (specify):

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

65.00

### SUBMITTED BY

### Complete (if applicable)

Typed or Printed Name

Michael Q. Lee

Reg. Number

35,239

Signature

Date

3/20/2000

Deposit Acct. User ID

### WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SKGF Rev. 2/2/00 mac

001000F.r12



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

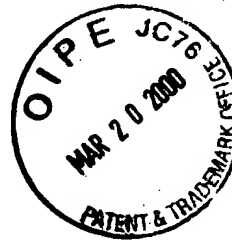
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

|                    |                     |                       |                           |
|--------------------|---------------------|-----------------------|---------------------------|
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|

09/455,528 12/07/99 RIVETTE

K 1531.001000F

0242/0119  
STERNE KESSLER GOLDSTEIN & FOX PLLC  
SUITE 600 1100 NEW YORK AVENUE N W  
WASHINGTON DC 20005-3934



NOT ASSIGNED

2776

DATE MAILED:

01/19/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1804.00.

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ 40.00 for \_\_\_\_\_ total claims over 20.

\$ 78.00 for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

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65.00 OP  
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